ORIGINAL ARTICLE

Polysomnographic Pilot Study of a New Mandibular Oral Device for Mild to Moderate Obstructive Sleep Apnea

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Study Objectives: The mandibular advancement device (MAD) in study, the velolingual bite (VLB), was a custom-made, monobloc device including a tongue retention and suction cavity and a direct anchorage onto the mandibular bone and not onto the teeth. The main objective of the study was to evaluate the efficacy of the MAD in reducing pathologic sleep-related breathing events and in improving overall sleep quality. The study also sought to evaluate tolerability of and compliance to the MAD therapy.

Methods: This was a monocentric, prospective, open-label, interventional, polysomnographic pilot study. The main study outcome was the reduction in pathologic sleep-related breathing events. Treatment response was defined as a decrease of \geq 50% in apnea-hypopnea index (AHI) and respiratory disturbance index (RDI). Treatment success was defined as the normalization of the AHI (< 5 events per hour). Secondary outcomes included improvement of video-polysomnographic parameters and subjective sleep quality and daytime somnolence. Side effects, tolerability, and compliance to treatment were adjunctive secondary outcomes, measured subjectively by means of a semi-structured self-administered questionnaire.

Results: Twenty patients (3 females) were enrolled, of whom 19 completed the study. Complete treatment success (AHI <5 events per hour) was met in 11 cases. Treatment response (decrease of \geq 50% in AHI and RDI) was reached in 13 and 14 patients, respectively. The MAD was well tolerated and no major side effects were reported.

Conclusions: The VLB was effective in reducing pathologic sleep-related breathing events. Treatment response and treatment success were both met in a large proportion of subjects. The MAD was well tolerated, with mild side effects that were mostly confined to salivation issues and initial and transient toothache and temporomandibular joint discomfort.

Keywords: mandibular advancement device; custom-made; velolingual bite; sleep apnea; polysomnography

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INTRODUCTION

Mandibular advancement devices (MAD) are a valid alternative to continuous positive airway pressure (CPAP) ventilation during sleep in the treatment of snoring and mild to moderate obstructive sleep apnea syndrome (SAS).¹ MADs may also be considered in patients with severe SAS who do not tolerate CPAP treatment or in combination with it.² Worn intraorally during sleep, they are usually anchored onto the dental arches and induce mandibular advancement (i.e., protrusion), resulting in several beneficial anatomic changes, including anteroposterior and lateral retrolingual and pharyngeal space enlargement, resulting in increased oropharyngeal cross-sectional areas and upper airway volume. The reduction in pathologic respiratory events during sleep seems to correlate with the previously mentioned modifications in upper airway dimensions induced by MADs.3

A wide variety of MADs are available on the market, covering a range of sophistication and cost. They slightly differ from one another in the following aspects: configutachment to patient's dentition; coupling mechanism (i.e., the method by which the two upper and lower pieces connect); occlusal coverage (i.e., coverage of the surfaces of the teeth that touch each other when the mouth is closed); ability to titrate the mandibular protrusion (so far, only twopiece devices are available for custom titration); propulsive mechanism, and oral respiration. Custom-fitted and titratable MADs are preferrable to self-administered and non-titratable, over-the-counter varieties (i.e., boil and bite), since they appear to be more effective, comfortable, and more likely to be retained by both dental arches, ensuring that the lower jaw does not fall out of the appliance during sleep.⁴⁻⁶ There has been a proliferation of various designs since the first commercially available oral appliances were introduced in the 1980s.7 In March 2013, the American Academy of Dental Sleep Medicine (AADSM) published a definition of an effective MAD, focusing on custom-titratable MADs.8

ration (i.e., one or two pieces); size; material; degree of at-

The MAD in the study, the velolingual bite (VLB), consists in a custom-made monobloc device including a tongue retention and suction cavity to push the tongue

down and forward onto the mouth floor, thus preventing its lifting towards the hard palate. Its design requires the presence of only four occlusal points, allowing for a non-invasive frontal push onto the vestibular face of the mandibular bone, thus reducing the risk for occlusal changes, tooth loosening, and the development of an anterior crossbite, which represent the major long-term adverse effects of oral appliances. Currently, the VLB represents the first monobloc device that can be titrated. It holds a Swiss patent and trademark. European equivalents are underway. All VLB components are CE marked and have a 5-year warranty. The possibility of printing further duplicates with three-dimensional machinery is currently under development (Appendix A, supplemental materials).

STUDY OBJECTIVES

The main objective of this study consisted in exploring the efficacy of the VLB in reducing pathologic sleeprelated breathing events and improving overall sleep quality. The study also sought to evaluate the tolerability of and compliance to MAD therapy.

METHODS

Trial Design

This was a monocentric, prospective, open-label, interventional, polysomnographic pilot study.

Inclusion and Exclusion Criteria

Eligible study subjects had to be consecutive female and male patients aged 18 to 65 years referred to the sleep center for suspected SAS, and who underwent video-polysomnography (VPSG) within the past 3 months from study beginning date. Patients had to have mild to moderate SAS (AHI \geq 5 events per hour and < 30 events per hour). The presence of at least four teeth both in the posterior lower and upper arches and the ability to protrude the mandible for at least 6 mm were a requirement.

Any one of the following criteria led to the exclusion of the participant: other significant neurologic conditions; major ear, nose, and throat surgery modifying the anatomy of the upper airways (i.e., uvulopalatopharyngoplasty; palatoschisis; neoplastic lesions); limited mental capacity; treatment with drugs affecting sleep (i.e.: hypnotics, antidepressants, neuroleptics, antiepileptics); trigeminal neuralgia and/or myofacial pain dysfunction; sleep-related central breathing disorders; obesity with a body mass index (BMI) \geq 30 kg/m². Prior to inclusion, significant oropharyngeal disease (especially adenotonsillar and/or tonsillar hypertrophy) had to be ruled out by means of fibroendoscopic evaluation, performed by a trained ear, nose, and throat (ENT) specialist (RP). Patients who concomitantly used CPAP or positional therapy were excluded from the study. All patients gave their written consent for the study, which was approved by the local ethics committee.

Design of the Study

The study consisted of seven visits (Figure 1). At visit 1, inclusion and exclusion criteria were checked and eligible subjects were included in the study. The Pittsburgh Sleep Quality Index (PSQI) and Epworth Sleepiness Scale (ESS) were administered.

At visit 2, subjects underwent an odontologic evaluation. Thereafter, the MAD was produced and, at visit 3, it was administered at 50% of each subject's mandibular advancement, as previously assessed on calculations of the subject's maximal mandibular protrusion. Immediate tolerability and side effects (myofacial pain, temporomandibular tension) were checked at study visits 3 and 7 by means of a semi-structured self-administered questionnaire (Appendix B, supplemental materials). If the subject experienced important side effects at this stage, the study would be discontinued.

At visits 4 and 5, further mandibular advancements – to 60% and 70% respectively – of the calculated maximal mandibular protrusion were performed by the dentist.

At visit 6, the subject underwent a second VPSG while wearing the MAD. If, at any point from MAD administration (visit 3) to the achievement of a 70% mandibular protrusion (visit 5), any serious side effect occurred, the dentist would stop the mandibular advancement process and return to the previous level of mandibular protrusion, at which no side effects were experienced. The subject would then proceed directly to VPSG and leave the study thereafter.

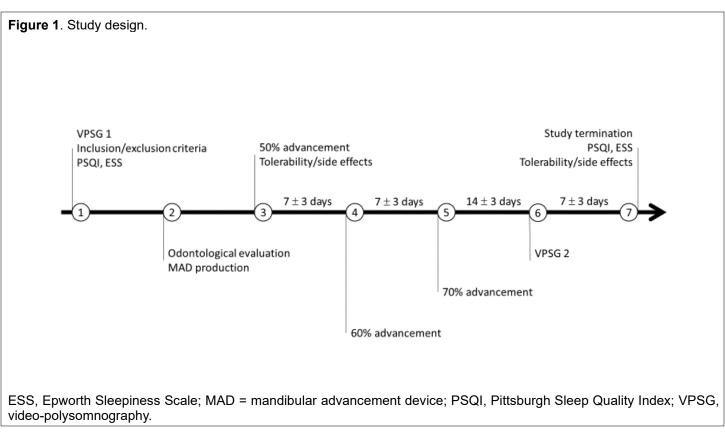
At the final evaluation (visit 7), the subject met with the investigators again. PSQI and ESS were administered. The custom-made MAD was left with the subject free of charge.

VPSG Methods

Each subject underwent two full-night VPSG recordings, carried out following standard American Academy of Sleep Medicine procedures,⁹ described in detail in a previous study.¹⁰ All recordings were scored by a single physician expert in sleep medicine (GC) and the final diagnosis of SAS was confirmed by the senior author (MM). Those patients who had a supine AHI at least double the nonsupine AHI during basal PSG were defined as positional.

Outcome Measures and Assessments

The main study outcome was the reduction in pathologic sleep-related breathing events. Related primary outcome measures were the AHI, RDI, and supine AHI. Treatment success per each patient was defined as the normalization of the AHI (< 5 events per hour). Positive



treatment response was defined as a decrease of \geq 50% in AHI and RDI.

Secondary outcomes included improvement of sleep efficiency, sleep quality, and daytime somnolence. The improvement of sleep quality and daytime somnolence were measured subjectively with the PSQI and ESS. Related secondary outcome measures were polysomnographic parameters such as sleep efficiency, sleep latency, and wake after sleep onset, a measure of infrasleep awakenings.

Side effects, tolerability, and compliance to treatment were monitored and measured subjectively by means of a semi-structured self-administered questionnaire (Appendix B, supplemental materials) covering the following aspects: usage (nights/week; hours/night); side effects, reasons for interrupting usage; Visual Analog Scale for Pain (VAS Pain)¹¹; Visual Analog Scale for Satisfaction (VAS Satisfaction). The number of dropouts and the percentage of incomplete mandibular advancements were other outcome measures.

Statistical Analysis

Values are presented as mean \pm standard deviation. Data were first checked for normality and homogeneity of variance using the Shapiro-Wilk test and the Levene test, respectively. Within subjects, comparisons were then performed using the Wilcoxon rank-sum test. The Holm correction was applied to deal with multiple testing, and differences were considered significant at a value of P < 0.05 after correction. All statistical analyses were performed using SPSS® Version 25 (IBM, Armonk, NY).

The power calculation estimated at least 15 subjects, evaluated with two polysomnograms (PSGs) each (one basal and one on-treatment), as the number of subjects to allow the rejection of the null hypothesis with a power of 0.8 and type I error probability of 0.01.

RESULTS

Demographics

A total of 20 subjects (3 females) were enrolled, with an age range between 25 and 59 years (49.3 ± 9.2). Baseline BMI was 25.3 ± 2.4 and 25.2 ± 2.2 at the time of the second VPSG recording. One subject decided to discontinue the study before the MAD was produced. The remaining 19 patients completed the study, with 18 of them having proceeded to a mandibular advancement equal to 70% and one to 60% of their calculated total jaw excursion.

Polysomnographic Results

Polysomnographic data are summarized in Table 1. Overall, statistically significant reductions in AHI, supine AHI, RDI, and oxygen desaturation index 3% were found between PSGs before and during treatment. Complete treatment success (AHI <5 events per hour), which represented the main study outcome, was met in 11 cases. Treatment response (decrease of \geq 50% in AHI or RDI) was reached in 13 and 14 cases, respectively (Figure 2). The arousal respiratory index significantly decreased (9.9 ± 4.8 vs. 3.5

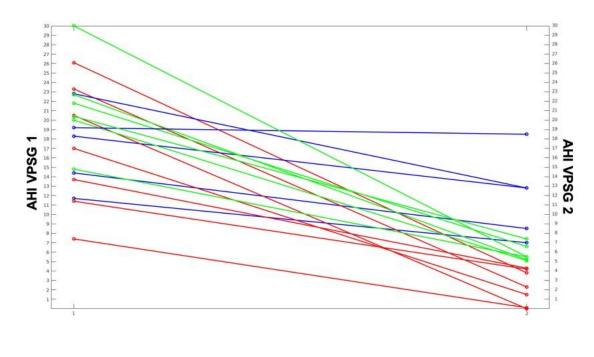
	PSG 1	PSG 2	Р
	$Mean \pm SD$	$Mean \pm SD$	
TST (min)	$367,8\pm53,4$	377,7 ± 57,7	n.s.
SE (%)	$82\pm0,1$	$82 \pm 0,1$	n.s.
N1_lat (min)	$14,0 \pm 21,7$	$15,9 \pm 23,0$	n.s.
N2_lat (min)	$17,9 \pm 21,2$	$18,9 \pm 22,5$	n.s.
N3_lat (min)	$42,9 \pm 48,3$	$34,4 \pm 24,8$	n.s.
REM_lat (min)	$90,7 \pm 28,8$	75,1 ± 21,9	n.s.
N1%	11 ± 0,1	8 ± 0,0	n.s.
N2%	$47 \pm 0,1$	$46\pm0,1$	n.s.
N3%	$26 \pm 0,1$	$24\pm0,1$	n.s.
REM%	18 ± 0,0	21 ± 0,1	n.s.
WASO (min)	$65,0 \pm 43,2$	$61,2 \pm 51,4$	n.s.
AI	19,6 ± 8,2	13,0 ± 5,3	n.s.
AI resp	$9,9 \pm 4,8$	3,5 ± 2,7	0.009
PLMI	6,1 ± 6,9	6,6 ± 12,1	n.s.
AHI	$18,6 \pm 5,7$	$6,2 \pm 4,7$	0,0056
AHI supine	30,7 ± 18,3	8,6 ± 7,8	0,023
AHI non supine	$11,1 \pm 10,0$	$4,1 \pm 5,4$	n.s.
AHI _{REM}	$22,0 \pm 12,9$	$10,8 \pm 12,2$	n.s.
AHI _{NREM}	18,1 ± 6,3	4,9 ± 3,9	0,0056
RDI	$20,6 \pm 6,5$	$6,9 \pm 5,6$	0,0056
RERA	$1,9 \pm 2,8$	$1,3 \pm 1,6$	n.s.
SNORE (%)	21 ± 0,2	$15\pm0,2$	n.s.
Mean SpO ₂ (%)	94 ± 0,1	$94 \pm 0,2$	n.s.
SpO ₂ (min%)	87 ± 0,1	$87\pm0,1$	n.s.
ODI3	18,4 ± 22,8	5,1 ± 4,8	0,012
T90 (min)	3,1 ± 5,4	$1,8 \pm 3,9$	n.s.

AHI, apnea-hypopnea index; AI, arousal index; AI resp, respiratory arousal index; N1_lat, latency to sleep stage 1; N2_lat, latency to sleep stage 2; N3_lat = latency to sleep stage 3, n.s., not significant; ODI ,oxygen desaturation index; PLMI, periodic limb movement index; PSG, polysomnography; RDI, respiratory disturbance index; REM_lat, latency to REM sleep; RERA, respiratory effort-related arousal; SD, standard deviation; SE, sleep efficiency; T90, time spent at SpO₂ below 90%; TST, total sleep time; WASO, wake after sleep onset.

	5	50% Adv	ancement (11)	Final Evaluation (17)			
	Usage							
7 nights/week (%)				90.9				70.
> 4 nights/week (%)				9.1				29.
All night long (%)				70				78.
> 50% of the night (%)				30				21.
	Side ef	fects du	ring MAD tro	eatment	:(%)			
	Never	Rarely	Sometimes	Often	Never	Rarely	Sometimes	Ofte
Chewing problems	75	0	12,5	12,5	35,7	42,9	14,3	7,1
Sialorrhea	11,1	11,1	22,2	55,6	21,4	14,3	28,6	35,7
Xerostomia	25	25	25	25	28,6	28,6	21,4	21,4
Headache	100	0	0	0	92,3	7,7	0	0
Toothache	33,3	11,1	33,3	22,3	23,1	30,8	30,8	15,3
Tongue pain	100	0	0	0	100	0	0	0
Gum pain	85,7	0	14,3	0	69,2	15,4	7,7	7,7
TMJ pain	71,4	0	14,3	14,3	42,8	28,6	14,3	14,
Choking	100	0	0	0	69,2	23,1	7,7	0
Dental hypermobility	100	0	0	0	84,6	7,7	0	7,7
Bite modification	83,3	16,7	0	0	61,5	23,1	7,7	7,7
	New-o	nset sym	ptoms from	treatme	nt begin	ning (%)	
Pain on yawning				22,2				21,
TMJ "click"				0				
Trismus				11,1				21,
Pain on talking				0				7,
Pain on chewing				11,1	7,			
"Grinding" or "popping" noise				0				7,
Jaw rigidity				10				
Jaw fatigability				11,1				7,
Ear pain				0				7,
Eye pain				0				7,
Toothache				22,2				28,
Bite modification				0				28,
	VAS P	ain						
Mean value				≤4				<
	VACC	atisfactio	n					
Mean value	VASS	ausiacii	011	≥5				2

MAD, mandibular advancement device; TMJ, temporomandibular joint; VAS, visual analog scale (range: 0-10).





The red line identifies subjects who met treatment success (AHI < 5 events per hour), the green line identifies those who met treatment response (AHI reduced by half), the blue line identifies all other patients; AHI, apnea-hypopnea index; VPSG, video-polysomnography.

 $\pm 2.7, P = 0.009$). Supine AHI as well non-rapid eye movement AHI were strongly suppressed by treatment, whereas the effect on rapid eye movement (REM) AHI was less evident. Twelve of 19 patients were affected by positional obstructive sleep apnea, as defined in the Methods section.

Age, BMI, RDI, AHI, supine AHI, and REM AHI were not predictors of either complete or partial response, when compared between responders (14 subjects, RDI cutoff value 50%) and non-responders (5 subjects). The same was true for those 11 subjects meeting treatment success (AHI > 5 events per hour). These results should be taken into account carefully, given the low number of subjects.

Questionnaires

Eleven and 17 subjects out of 19 returned the semistructured self-administered questionnaire regarding MAD tolerability and safety at study visit 3 and at the final evaluation, respectively. Results are summarized in Table 2. Overall, 70% of subjects reported using the device for 7 nights a week and 78% all night long. No subjects reported a score higher than 4 on the VAS Pain at 50% advancement and no subjects reported a score higher than 5 on the VAS Pain at final evaluation (only mean results are shown in the table). Regarding device satisfaction, all subjects reported a score higher than 5 on the VAS Satisfaction at 50% advancement, as well as a score higher than 7 at final evaluation.

All patients returned both sleep questionnaires (PSQI

and ESS) at both study times. There was a significant reduction in the PSQI total score (6.6 ± 24 vs. 4.9 ± 2.6 , P =0.006), as well as in the ESS scores $(7.0 \pm 4.7 \text{ vs } 5.0 \pm 3.6,$ p = 0.02), although both scores (before and after treatment) were not pathologic.

DISCUSSION

This study documented a significant efficacy of the new VLB device in reducing pathologic sleep-related breathing events, as measured by the reduction in AHI, in the supine position, as well as in non-REM sleep. A significant reduction was also detected for the RDI before and after intervention. Both treatment success and response were met in most of the subjects. Although RDI improved in all subjects, treatment response was not achieved in 4 of 19 subjects. A specific risk factor involved in such reduced efficacy for this minority of patients was not identified.

A significant improvement in sleep efficiency was not detected, nor was a reduction in both sleep latency and wake after sleep onset between the two PSGs, which is in line with previous findings and might depend on having normal values at baseline.⁴ Conversely, it was noted that the subjective perception of overall sleep quality, assessed through the PSQI, improved with treatment. Although the mean ESS score was normal at baseline, but close to the pathologic threshold, a significant improvement after treatment was observed.

Overall, the MAD was well tolerated, with mild side effects, mostly confined to salivation issues and initial and transient toothache and temporomandibular joint discomfort. New-onset symptoms provoked by VLB use were very mild and limited to transient muscle rigidity, pain during yawning, and temporary bite modifications. Compliance with the device was satisfactory, with more than 70% of the subjects using it every night of the week and 80% of them all night long. The short duration and the lack of comparison with another effective MAD are the two main limitations of the study.

The efficacy results of this study are in line with data existing in the previous literature, in particular, the latest meta-analysis by Sharples and colleagues,¹² which recapitulated findings from three previous main meta-analyses.¹³⁻ ¹⁵ All these works stated, in summary, that MADs were effective in reducing AHI, ESS score, and other measures of sleep-disordered breathing compared with conservative management, but less than CPAP. Moreover, the VLB seemed to fulfill the characteristics proposed by Ramar et al. in their clinical practice guidelines for the treatment of SAS with MAD.⁴ Additionally, the VLB could sustain an effective protrusion level in all study subjects.¹⁶

Side effects causing patients to discontinue use of their oral appliance are less common than side effects causing adult patients with obstructive sleep apnea to discontinue the use of CPAP and include dry mouth, excessive salivation, tooth discomfort, muscle tenderness, and jaw stiffness.¹⁷ Problems such as pain and occlusal changes have been related to discontinuation of MAD use in 7.5% to 25% of cases. A much higher percentage of tooth movement and occlusal change have been documented in longer follow-up periods (1 to 4 years).^{18, 19}

The new VLB is a custom-made, titratable oral device made out of biocompatible materials. In comparison with other MADs already available on the market, it features some novelties. Although it is one single piece, it allows the opening of the mouth and oral respiration through frontal holes. Its design requires the presence of only four occlusal points, allowing for a direct push onto the mandibular bone (no surgery required), thus reducing the risk for occlusal changes, tooth loosening, and the development of an anterior crossbite, which seem to represent the major long-term adverse effects of oral appliances.²⁰ In addition, the VLB can be applied to patients with a reduced number of teeth. The presence of the winglet vault, which acts as a tongue retainer, might have a double benefit. On one side, it creates a suction cavity that pulls the tongue forward. On the other side, it acts as a tongue retainer, preventing pressure of the tongue on the hard palate, which is a defensive mechanism spontaneously occurring during obstructive sleep apneas. Such vertical pressure is accompanied by the lingual vertical muscle contraction against the hard palate, which, in turn, produces an increase of the tongue volume toward its posterior portion, favoring a retrolingual occlusion. Currently, the VLB represents the first monobloc device that can be titrated.

In conclusion, the new MAD was well tolerated and effective in mild-to-moderate obstructive sleep apnea on all sleep-related PSG breathing parameters. Longer and comparative studies are needed to test the long-term tolerability of this MAD and its superiority in comparison with other MADs.

ABBREVIATIONS

AHI = apnea/hypopnea index BMI = body mass index CPAP = continuous positive airway pressure ESS = Epworth Sleepiness Scale PSQI = Pittsburgh Sleep Quality Index RDI = respiratory distress index REM = rapid eye movement SAS = sleep apnea syndrome VAS = visual analog scale VLB = velolingual bite

VPSG = video-polysomnography

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SUBMISSION & CORRESPONDENCE INFORMATION

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DISCLOSURE STATEMENT

The authors have no conflicts of interest to disclose.

Appendix A







APPENDIX B

Data dell'osservazione:	Centre No.:
	Subject No.:

Questionario sulla tollerabilità, compliance ed effetti collaterali del BVL

	Sta <u>ancora utilizzando</u> il BVL?										
	SI 🗖	NO 🗖									
	Quante notti lo usa?	Per quanto tempo lo ha usato?									
_	Tutte le notti Da 4 a 6 notti alla settimana Da 1 a 3 notti alla settimana Meno di una notte alla settimana	giorni settimane Ha intrapreso trattamenti alternativi per le apnee in sonno?									
	Per quanto tempo lo usa? Tutta la notte Più di metà notte Metà notte Meno di metà notte	SI NO Se sì, specifichi quale: Perché ha interrotto l'utilizzo del BVL?									
	Quanto è soddisfatto del BVL? Molto soddisfatto Moderatamente soddisfatto Moderatamente insoddisfatto Molto insoddisfatto	(più di una risposta possibile) Scarso/nessun effetto Dolore articolare Dolore muscolare Dolore gengivale Dolore linguale Peggioramento delle apnee Perdita di peso e conseguente riduzione delle apnee Trattamento alternativo (es: CPAP)									
	Mai Meno di una volta alla settimana Da 1 a 3 volte alla settimana Da 4 a 6 volte alla settimana	Perdita del BVL Claustrofobia Difficoltà alla deglutizione Secchezza delle fauci (xerostomia) Ipersalivazione (scialorrea) Altro:									

Effetto collaterale		Freque	mza			Gravità	iravità		
	Mai	Raramente	A volte	Spesso	Lieve	Moderata	Severa		
Difficoltà a masticare al mattino									
Salivazione eccessiva									
Secchezza delle fauci									
Mal di testa al mattino									
Tensione/dolore ai denti									
Tensione/dolore alla lingua									
Tensione/dolore alle gengive									
Tensione/dolore all'articolazione emporo-mandibolare									
Senso di soffocamento									
Mobilità di uno o più denti									
Modificazione del morso									
Altro:									

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Version 1.0 of 23.02.2017

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Data dell'osservazione:	Centre No.:
	Subject No.:

Ha notato <u>l'insorgenza</u> di uno o più dei seguenti effetti collaterali <u>da quando ha iniziato a usare</u> il BVL?								
	SI	NO						
Tensione/dolore quando sbadiglia								
"blocco" o "dick" della mandibola								
Difficoltà a chiudere la mandibola								
Difficoltà/dolore quando parla, mastica?								
Dolore alla masticazione di cibi particolarmente duri (es: mele)								
Rumori proveniente dalla mandibola								
Rigidità mandibolare								
Affaticabilità/stanchezza mandibolare								
Dolore all'orecchio?								
Dolore al collo?								
Dolore ai denti?								
Modificazioni del morso?								

Scala analogica visiva per il dolore										
Indichi su questa scala da 0 a 10 (0 = nessun dolore; 10 = massimo dolore sopportabile) il grado attuale del dolore legato all'utilizzo del BVL										
0 1 2 3 4 5 6 7 8 9 10										

Scala analogica visiva per la soddisfazione Indichi su questa scala da 0 a 10 (0 = totale insoddisfazione; 10 = massima soddisfazione) il grado attuale di soddisfazione legato all'utilizzo del BVL								
0 1 2 3 4 5 6 7 8 9 10								

Quanto è soddisfatto il/la suo/a partner per l'uso del BVL?									
Molto soddisfatto/a	Moderatamente soddisfatto/a	Moderatamente insoddisfatto/a	Molto insoddisfatto/a	Non applicabile					

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