SPECIAL ARTICLE

Novel Therapies for Preventing, Managing and Treating Obstructive Sleep Apnea and Snoring in Pediatric and Adult Patients

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INTRODUCTION

As the scope of practice for dental sleep medicine has evolved, medical and dental providers are increasingly interested in emerging dentistry-based interventions to address adult and pediatric obstructive sleep apnea (OSA) and snoring. The literature on newer therapies is hardly robust and has not withstood the test of time. Accordingly, providers remain uncertain as to how recent advancements in the field could be applied to their clinical practice. In recognition of this gap, the American Academy of Dental Sleep Medicine (AADSM) undertook a consensus conference of subject matter experts to review the published literature on several emerging therapies for OSA and snoring in both adults and children with the goal of providing guidance.

This consensus conference used a modified RAND/UCLA model (RAM), which is commonly used to develop clinical guidance for improved health care. RAM uses a quantitative approach for synthesizing qualitative data, aiming to achieve general agreement, convergence of opinion, or resolving inconsistencies in scientific information around a particular topic. During the RAM process, an expert panel rates the appropriateness of interventions using a 9-point Likert scale and then categorizes them based on the group median rating.¹ For this consensus conference, the panel included experts from 4 different countries, all with extensive knowledge of dental sleep medicine. Additionally, key stakeholders in the fields of dentistry and sleep medicine were invited to observe and contribute to robust discussion prior to voting by the expert panel.

During this conference, the panel and observers focused exclusively on the use of novel therapies to manage diagnosed OSA and snoring. For the purposes of this conference, the panel relied on research that assessed sleep test data for OSA.^{2,3}

Snoring is identified as respiratory sounds generated in the upper airway during sleep. In this paper, we refer to snoring as not the noise itself, but rather the diagnosis of snoring without OSA.²

The conference participants explored specific therapies to prevent, manage, or cure adult and pediatric OSA and snoring. Treatment and management were used interchangeably. The therapies reviewed included 4 potential risk factors associated with OSA and snoring including malocclusion, intraoral tethered tissue, tongue motor activity patterns and soft palate/adjacent soft tissue anatomy and physiology. Specific questions were asked about the following novel or emerging therapies associated with each respective risk factor listed above (with therapies italicized in questions below). The emerging therapies for OSA and snoring were selected based on frequency of inquiries from AADSM members about these therapies.

When addressing these questions, the following definitions were used:

- Prevent After the intervention/therapy, OSA or snoring will not develop during the time period of the age cohort. When discussing prevention in children, the therapy prevents OSA or snoring only during childhood and not into adulthood.
- Manage The therapy will normalize OSA or snoring as much as possible during the time period of the cohort period.
- Cure The therapy will resolve OSA or snoring without further need for therapy during the time period of the cohort. When discussing curing in children, the therapy cures OSA or snoring only during childhood and not into adulthood.
- Adults- Ages 18 years and older.

Risk Factor	Questions Related to Adult Patients	Questions Related to Pediatric Patients					
	Based on the available evidence, in adult patients, is it appropriate to use:	Based on the available evidence, in pediatric patients, is it appropriate to use:					
Dental or Skeletal Malocclusion	<i>Expansion</i> or <i>myofunctional orthodontics</i> to prevent, manage, or cure OSA?	Expansion, functional appliances, or myofunctional orthodontics to prevent, manage, or cure OSA or snoring?					
Tethered Tissue	<i>Buccal or lingual frenum releases</i> to prevent, manage, or cure OSA or snoring?	<i>Buccal or lingual frenum releases</i> to prevent, manage, or cure OSA or snoring?					
Tongue Motor Immaturity	<i>Myofunctional therapy</i> to prevent, manage, or cure OSA or snoring?	<i>Myofunctional therapy</i> to prevent, manage, or cure OSA or snoring?					
Soft Palate or Adjacent Soft Tissue Anatomy and Physiology	Ablative or nonablative laser therapy to prevent, manage, or cure OSA or snoring?	<i>Ablative or nonablative laser therapy</i> to prevent, manage, or cure OSA or snoring?					
Extractions	Do <i>childhood or adult extractions</i> increase the risk for OSA in adults?	Do <i>childhood extractions</i> increase the risk for OSA in children?					

Table 1. Consensus Conference Questions

Because of overlap in terminology with the therapies listed above, it is helpful to distinguish between functional appliances, myofunctional therapy, and myofunctional orthodontics. Functional appliances refer to fixed or removable appliances. They are generally customized and advance the mandible in growing children, similar to oral appliance therapy in adults, except the goal may be to develop a permanent advanced mandibular position. Myofunctional therapy encompasses the field of strengthening the tongue and orofacial musculature to improve speech and swallowing and to correct improper oral function. Myofunctional orthodontics combines myofunctional therapy with removable appliances that are often prefabricated as opposed to customized.

The conference participants considered each of these emerging therapies as monotherapies to determine whether they were appropriate to use in the majority of patient cases. The recommendations in this paper are therefore not intended to replace a clinician's best judgment when determining the appropriate therapy for their individual patient. Emerging therapies may need to be considered in combination with other more proven therapies or when other more proven therapies have failed. Qualified dentists are strongly encouraged to use their clinical expertise, knowledge of the patient's unique circumstances and the patient's values and preferences to determine the best course of action⁴ and obtain informed consent from the patient, regardless of the therapy provided.

RESULTS

The following table details the decisions made by the panel at the consensus conference.

Table 2. Consensus Decisions

Categories

The panel was able to reach consensus on a number of therapeutic interventions. These interventions were categorized as the following:

- Appropriate (A) Supported for use as a monotherapy
- Uncertain (U) Evidence is lacking to support use as a monotherapy, but clinicians should consider their individual
 patient's needs and use their best judgment on whether the emerging therapy would be useful
- · Insufficient evidence (IE) Evidence inadequate to support use of the therapy as a monotherapy

Interventions for which no consensus was reached are indicated with NC. Based on the available literature, none of the therapies examined by the panel were considered appropriate. This reflects the limited studies to validate the benefit versus risks of each treatment. Further research is encouraged to clarify the utility of such therapies.

	Adult Patients						Pediatric Patients					
	OSA			Snoring			OSA			Snoring		
	Prevent	Manage	Cure	Prevent	Manage	Cure	Prevent	Manage	Cure	Prevent	Manage	Cure
Functional Appliances*							U	U	υ	U	U	U
Myofunctional Orthodontics*	IE	IE	IE				U	U	NC	U	U	NC
Expansion**	IE	U	IE				NC	NC	IE	NC	U	IE
Myofunctional Therapy	IE	U	IE	IE	U	IE	IE	U	IE	IE	U	IE
Lingual and Buccal Releases	IE	IE	IE	IE	IE	IE	IE	IE	IE	IE	IE	IE
Ablative Laser Therapy	IE	IE	IE	IE	IE	IE	IE	IE	IE	IE	IE	IE
Nonablative Laser Therapy	IE	IE	IE	IE	U	IE	IE	IE	IE	IE	IE	IE
Extractions												
Do childhood tooth extractions lead to OSA in children?									No			
Do childhood or adulthood tooth extractions lead to OSA in adults?									No			

*Given the existing guidelines^{5,6} supporting oral appliance therapy for adults, functional appliances for adult OSA and snoring were not considered. Additionally, myofunctional orthodontics was not considered for adult snoring.

** Given the morbidity of the surgical procedures required for expansion in adults, this treatment for adult snoring was not considered.

DISCUSSION

The prevalence of OSA in children ranges from 1% to 5% and tends to peak between the ages of 2 to 8 years.^{7–11} Pediatric OSA has been associated with a number of craniofacial features including maxillary constriction, mandibular retrusion, short mandibular length, high mandibular plane angle, and class II skeletal and dental malocclusion.^{12–17} The quality of evidence from these reports, however, was low to very low due to the types of studies conducted, and caution must be exercised in assuming that treatment to alter unfavorable craniofacial features will prevent, manage, or cure OSA in children.

Adenotonsillectomy (AT) is considered the first-line therapy for pediatric OSA typically under age 7. In a randomized clinical trial of pediatric OSA patients (5-9year-olds), 79% of children with mild to moderate OSA experienced normalization of polysomnography (PSG) findings following standalone adenotonsillectomy.¹⁸ It is noteworthy, however, that in the control group of untreated patients, watchful waiting resulted in spontaneous normalization of PSG findings in 46% of the children with mild to moderate OSA, suggesting that nearly half of children with mild to moderate OSA will naturally improve without intervention. Cases of residual OSA were associated with obesity, higher pretreatment apneahypopnea index (AHI), and Black race.¹⁸

In cases of mild to moderate persistent OSA, alternative treatment options include using intranasal steroids, leukotriene receptor antagonists, and continuous positive airway pressure, as well as observation in asymptomatic children with mild OSA.^{19–22}

While up to 28% of healthy children snore, habitual snoring is prevalent in 3% to 12% of the pediatric population.²³ Snoring is not associated with apnea, oxygen desaturation, or hypoventilation and is a common finding in children.²⁴ Snoring has been found to be associated with daytime cognitive and subsequent behavioral problems that are indistinguishable to those children with compromised nighttime breathing despite the missing features of hypoxia and periodic arousals.²⁵ However, asymptomatic snoring in children is typically not considered to require medical intervention and may be transitional during growth and development or a short-term issue not requiring intervention.

Functional Appliances for Malocclusion

Functional appliances are customized devices that advance the mandible in children and have been historically used in orthodontics to address mandibular retrognathia. Functional appliances are predicated on stimulating growth primarily at the mandibular condyle, thus translating the mandible in a downwards and forward position. Functional appliances dictate function in an advanced mandibular position and have been shown to demonstrate improvement in dental and skeletal relationships in growing children.²⁶ By comparison, natural growth and development has been recognized to also contribute to these favorable changes. As such, it is unclear what part of the correction is attributable to the functional appliance.

Pediatric OSA

Currently no studies have demonstrated that functional appliances are superior to AT in children with an AHI > 10. Most of the identified studies examining the role of functional appliances in treating childhood OSA were fraught with limitations, ranging from small sample sizes, inadequate control groups, short study duration, missing methodologic details, and absence of long-term follow-up studies to evaluate stability of changes.^{27–30}

While a few studies enrolled children with confirmed OSA,^{31,32} most studies did not assess for the presence of OSA by a diagnostic PSG prior to study inclusion.³³⁻⁴⁴ Instead, these studies enrolled participants who might have OSA as demonstrated by an increased risk for sleepdisordered breathing, using methods such as questionnaires (PSQ⁴⁵ or other unidentified questionnaires), parental report, symptoms, and/or craniofacial characteristics,³³⁻ ^{42,44,46} all of which compromise the conclusions in addressing OSA. While the AHI is an imperfect measure of OSA, it is still recognized as the current standard measure in diagnosing pediatric OSA.^{10,47,48} A few studies relied on alternate outcomes such as changes in symptoms or quality of life, airway size, oxygen saturation, and the oxygen desaturation index (ODI).^{28-30,33,49,50} The majority of studies investigating airway size employed radiography to analyze changes in the posterior airway space as a primary outcome. Unfortunately, awake airway size does not reflect supine sleep airway anatomy due to an altered physiological state and head position.14,34-44,46,51,52 In addition to the lack of pre-intervention and postintervention AHI data, another major study limitation was the lack of untreated controls to account for normal growth and development and, hence, self-correction during the study period. In essence, treating a child's airway space would be equivalent to treating a child's height and attributing credit for growth to an intervention that was simply coincident to the outcome of measured growth.

Since most studies did not meet the current standards for diagnosing pediatric OSA using the AHI,^{10,47,48} panelists were unable to judge the appropriateness of functional appliances to treat pediatric OSA. However, in certain cases of persistent OSA that fail to respond to first-line therapies, functional appliances may offer an alternative or supplemental therapy. Further research is warranted to identify appropriate responders. In the absence of identified studies and the absence of firm clinical judgment, the panel was *Uncertain* regarding using this treatment to prevent, manage, or cure childhood OSA.

Pediatric Snoring

While no studies explicitly investigated the role of functional appliances in preventing, managing, or curing pediatric snoring, some studies alluded to snoring without presenting data on the impact from functional appliances. One low-quality study used unidentified questionnaires to document changes in snoring patterns by parental report without showing data.⁴² Another study presented no data on pretreatment or post-treatment snoring in either treatment or control groups, but offered one comment in its results section stating that snoring improved in all treated patients while failing to comment on snoring changes in control patients.⁴³ In the absence of useful data and the absence of firm clinical judgment, the panel was *Uncertain* regarding the use of this treatment to prevent, manage, or cure snoring in pediatric patients.

Myofunctional Orthodontics for Malocclusion

Not to be confused with functional appliances, myofunctional orthodontics relies on removable or fixed intraoral devices that use facial and masticatory muscles to modify skeletal and dental relationships. Myofunctional orthodontics attempts to guide or correct orofacial musculature forces that are believed to cause aberrant growth and/or dental malocclusions.

Pediatric OSA

Myofunctional orthodontic devices are often prefabricated, unlike functional appliances, which are customized, and claim to address pediatric airway development issues. Often treatment is initiated in children as young as 2 to 4 years old.

A single, preliminary small study by Levrini⁵³ in 4- to 8-year-old children was identified in the literature. While AHI improved, changes in oxygen saturation were not statistically significant, and it is noted that study participants continued to snore post-treatment. Study limitations included short study duration, absence of imaging studies, and failure to include a control group to assess any possible changes due to growth.

In the absence of useful data on the efficacy of myofunctional orthodontics or firm clinical experience, the panel was *Uncertain* regarding the appropriateness of this treatment to prevent or manage OSA in pediatric patients. While the panel did not reach consensus regarding myofunctional orthodontic devices curing OSA, no panelist voted that the treatment was *Appropriate*.

It was noted by the panel, however, that this therapy may have a role in treating medically complex patients who have failed surgery, but only in collaboration with a sleep team including medical colleagues.

Pediatric Snoring

The panel did not identify any studies on the use of myofunctional orthodontics for pediatric snoring. As noted above, research participants in the Levrini⁵³ study continued to snore despite possible reductions in AHI. Panelists determined this therapy was *Uncertain* to prevent or manage pediatric snoring. The panel did not have enough data to judge the efficacy of myofunctional orthodontics to cure pediatric snoring and as such, did not reach consensus on this topic. Consistent with pediatric guidelines,¹⁰ childhood snoring without OSA, in the absence of other symptoms, does not require treatment. Parents should be reassured in these situations.^{18,22}

Adult OSA

In adults, some myofunctional orthodontic devices may be custom fit and titratable, while others may be stock devices and indirectly titrated by sequentially changing devices with greater advancement. Only one paper reviewed reported on the use of myofunctional orthodontics in adults for OSA. This was a lower-quality, single retrospective cohort study of 220 research participants.⁵⁴ The cohort used either a maxillary expansion appliance or a mandibular anterior repositioning appliance combined with a maxillary expansion device. While the study reported a statistically significant reduction in OSA severity, the overall methodology and statistical approach were limiting factors. Furthermore, 16% of patients experienced an increase in AHI indicating that more research is needed to identify responders, nonresponders and those with a treatment-induced worsened clinical status. While the authors suggested these proprietary devices may harness the growth potential of the maxilla, it was not clear how they differentiated this phenomenon from other variables such as a component part of the therapy, placebo response, or attributable to night-to-night sleep test variability.⁵⁴

During discussion, consensus conference participants expressed concern that bone growth may not be feasible in adult populations and that moving teeth into areas without bone can be associated with significant untoward adverse effects for the patient. When considering potential harms, alongside the lack of evidence, the panel found *Insufficient Evidence* to support the use of myofunctional orthodontics to prevent, manage, or cure adult OSA.

Expansion for Maxillary Constriction

Maxillary constriction is suggested to play a potential role in the pathophysiology of OSA.¹⁷ Rapid maxillary expansion (RME) is intended to lower nasal resistance, which may alter nasomaxillary flow dynamics, thus lowering the risk for pharyngeal collapse and improving OSA symptoms.⁵⁵

Pediatric OSA

The panel reviewed several articles that analyzed the impact of RME on pediatric OSA.^{13,17,55–71} Since many of the studies identified were of low quality, the panel focused its attention on the systematic reviews, recognizing that many included weak or poor-quality studies. Study outcomes included changes in AHI, minimum O2 saturation, or posterior airway space.^{28,56,58,59,72–75}

Study limitations included either very short or no follow-up assessments to judge the stability of changes or evaluation of parameters such as posterior airway space that do not correlate with improvement in AHI.^{62,76} It must also be recognized that research participants enrolled in the studies were undergoing RME to correct a maxillary transverse deficiency, and no data were found that examined RME in OSA patients without a transverse deficiency.

The panel was unable to reach consensus on using expansion as a prevention of OSA in children. The median of votes was in the *Uncertain* category. Of the panelists who voted outside the range of *Uncertain*, all deemed the treatment having insufficient evidence to support its use.

Similarly, the panel did not reach consensus on using expansion to manage OSA in children. Panelist votes spanned the 3 categories of *Appropriate*, *Uncertain*, and *Insufficient Evidence*. Clearly, better quality evidence and longer follow-ups are necessary before a consensus can be reached on this topic.

The panel did reach consensus that there was *Insufficient Evidence* to support RME as a treatment to cure pediatric OSA and stressed that expansion should only be considered in those patients who demonstrate maxillary constriction, independent of having pediatric OSA.

Pediatric Snoring

Two studies were identified that examined the association between maxillary expansion and pediatric snoring.^{77,78} Quality of evidence was weak to moderate, due to small sample sizes, short term follow-up, and poor methodology.

As with OSA, these studies analyzed patients who had previously been diagnosed with sleep-related breathing disorders (SRBD) or OSA. Thus, the panel was unable to arrive at a consensus about whether expansion was a viable prevention therapy for snoring. While most panelists voted in the *Insufficient Evidence* or *Uncertain* range, disagreement among the panelists included those who believed expansion is an *Appropriate* treatment to prevent pediatric snoring. No consensus was achieved after 3 rounds of voting, suggesting that further research on this topic should be conducted.

The panel arrived at consensus that the utility of maxillary expansion to treat pediatric snoring is *Uncertain* and that there is *Insufficient Evidence* to support maxillary expansion as a therapy to cure pediatric snoring.

Adult OSA

The expert panel agreed that it is important to differentiate how expansion in adult populations differs from expansion used in pediatric populations. While the intent of the therapy is to expand the midpalatal suture, this is more difficult to accomplish in adults because the midpalatal suture and adjacent circum-maxillary sutures are more resistant to expansion without surgical assistance or device enhancement. As a result, adults exposed to nonsurgical arch expansion are at increased risk for less stable tooth tipping, increased root resorption and cortical bone damage. Accordingly, surgical assistance or temporary anchorage devices in bone are often needed to facilitate adult maxillary arch expansion, sometimes in conjunction with corticotomy. Adult expansion includes procedures such as miniscrew-assisted rapid palatal expansion. surgically assisted RME. distraction osteogenesis for maxillary expansion and endoscopically assisted surgical expansion.

The panelists reviewed 1 published systematic review and 8 clinical cohort case series or review studies. The systematic review and meta-analysis indicated that expansion resulted in a statistically significant reduction in AHI and Epworth Sleepiness Scale (ESS) scores while changes in oxygen saturation nadir were not significant.⁷⁹ Mixed outcomes were found among the remaining studies.^{80–87}

While there is evidentiary literature to suggest that maxillary expansion in adults can result in significant reduction in AHI and improvement in subjective sleep quality, the available evidence indicated residual disease. When combined with unresolved symptoms, this may warrant further treatment. It may be possible that some patients presenting with mild OSA could experience a meaningful reduction in AHI following maxillary arch expansion, whereby no additional OSA management may be required. However, the reviewed literature did not include this population. Without longitudinal studies, it is uncertain how long any benefits of the procedure persist. Additionally, these studies cannot be generalized to all OSA patients as each of the research participants studied presented with some form of orthodontic transverse discrepancy.

Accordingly, the panel clarified that expansion of the maxilla to address OSA is only supported in the literature if a transverse discrepancy exists that requires expansion to manage the presentation. Expansion is not recommended at this time to solely address OSA or snoring in the absence of orthodontic transverse discrepancies. Thus, the panel reached consensus that there was *Insufficient Evidence* to support the use of expansion to prevent or cure OSA in adults. Additionally, the panel's consensus was *Uncertain* regarding expansion to manage OSA.

Myofunctional Therapy for Tongue Motor Immaturity

Conceptually, myofunctional therapy (MFT) is primarily focused on improving speech and swallowing to enhance orofacial growth. It has evolved to include breathing exercises and orthopedic devices.⁸⁸ The intervention uses a combination of isometric and isotonic oropharyngeal exercises,^{89,90} with the patient working on tasks such as speaking, breathing, blowing, sucking, and chewing through recruitment of the oropharyngeal musculature.^{90,91} Muscular endurance exercises aim to improve the tone, tension and mobility of oropharyngeal muscles and soft tissues, which may hypothetically reduce airway collapse during sleep.⁹² These exercises may also target parapharyngeal reduction of fat pads such as tongue fat.⁹³

There is a large variation in type, intensity, time frame, and provider of intervention (speech pathologist, myofunctional therapist, or self-administered by using an app).⁹⁴ There appear to be few, if any, common approaches between professionals, and therefore, it is increasingly difficult to draw solid conclusions.

Pediatric OSA

Evidence regarding the use of myofunctional therapy treating OSA is very limited. Hsu et al. (2020) published a systematic review of 9 randomized controlled trials (RCT).⁹⁵ Two RCTs were performed in children with OSA (N=81).^{96,97} One study did not report AHI nor AI after the intervention,⁹⁶ but the other demonstrated a significant decrease in AHI from baseline in the intervention group compared with controls.⁹⁷ In a separate study by the same author, it was found that there was a significant improvement in ODI, mean minimum SaO2 percentage, and mean oxygen saturation.⁹⁶ Rueda et al. (2020) evaluated the benefits and harms of MFT for treating OSA.⁹⁴ In children, compared to nasal washing alone, myofunctional therapy and nasal washing resulted in little to no difference in AHI.

The panel found no studies reporting on the use of MFT to prevent OSA in children and, as such, concluded that there was *Insufficient Evidence* for its use. The panel also found that though there was a lack of evidence

favoring MFT to manage OSA in children, the relative risk of therapy was low compared to potential benefits. As such, the panel reached consensus of *Uncertain* in providing MFT to manage OSA in children. Finally, due to lack of evidence to support that MFT can cure OSA in children, they concluded there was *Insufficient Evidence* to support its use as a monotherapy cure.

It is noteworthy that considerable discussion took place with feedback from the observers who emphasized that myofunctional therapy is a discipline that requires considerable education and training and that therapy is individualized to each patient. The American Speech-Language-Hearing Association (ASHA) describes the identification and treatment of orofacial myofunctional disorders and stresses that interventions be managed by appropriately trained speech and language pathologists (SLPs) in collaboration with an interdisciplinary team.⁹⁸ Furthermore, the ASHA Code of Ethics stipulates that SLPs must be specifically educated and appropriately trained to provide this type of specialized care. Skepticism was expressed regarding the type and amount of training received by staff of dental offices that purport to use myofunctional therapy to treat pediatric OSA.

Pediatric Snoring

The panel did not identify any literature regarding the use of myofunctional therapy to prevent or cure snoring in children. Based on both the lack of evidence and clinical expertise, the panel found *Insufficient Evidence* to support MFT in this context.

As with pediatric OSA, the panel identified no literature regarding the use of myofunctional therapy to manage snoring in children. Considering the low risk of therapy, along with the lack of evidence, the panel remains *Uncertain* as to whether MFT should be used to manage snoring in children.

Adult OSA

Several high-quality systematic reviews (e.g. including only RCTs) on the use of myofunctional therapy in adult patients with OSA have been performed in recent years,^{94,95,99} resulting in mixed conclusions.

Hsu et al. (2020) evaluated the effects of respiratory muscle therapy compared with control therapy or no treatment in improving subjective sleepiness, AHI and other polysomnographic outcomes.95 Based on a metaanalyses in adult studies, MFT-based respiratory muscle therapy yielded an improvement in subjective sleepiness and snoring frequency in intervention groups compared controls noting. however. that subjective with improvements may also be attributable to placebo effects. Hsu (2020) concluded that their systematic review highlights that MFT-based respiratory muscle therapy has application as an adjunctive management for OSA

suggesting that it is not sufficiently validated as a first-line OSA therapy. Study limitations included the nature and small number of participants and studies, heterogeneity of the interventions, high risk of bias and overall low quality of evidence reviewed.

Rueda et al. (2020) evaluated RCTs from the Cochrane Airways Trials Register and other web-based clinical trials registers to analyze the benefits and harms of MFT in treating OSA.⁹⁴ Study outcomes included AHI, Epworth Sleepiness Scale (ESS) scores, and sleep quality assessments. They concluded that in adults with OSA, MFT compared to sham therapy reduced daytime sleepiness (ESS score), improved AHI and enhanced subjective sleep quality.

In contrast, Randerath et al. (2021) concluded that more RCTs including a larger number of patients and longer treatment periods are warranted to determine whether the benefits of MFT can be sustained over prolonged periods.⁹⁹ While significant reductions in the AHI have been reported in several systematic reviews including RCTs,^{94,95,99} there was *Insufficient Evidence* to support the possibility that myofunctional therapy could result in a lasting benefit for OSA.

Review of the evidence showed that the lack of a standardized approach to MFT precludes justification of its wide use. As such, the panel determined there was *Insufficient Evidence* that myofunctional therapy prevents or cures OSA but it may have potential as a management therapy in some adults under some circumstances. Due to the mixed evidence, the panel found that it was *Uncertain* whether MFT could be recommended as effective in treating adult OSA as a primary standalone therapy.

Adult Snoring

Scant evidence was identified to explore the impact of MFT on snoring. Rueda et al. (2020) showed that it had little to no effect in reducing snoring frequency but slightly decreased subjective snoring intensity.⁹⁴ The panel found that while other therapies could result in significant adverse effects or harm, the potential harm from MFT is relatively low, provided frequent reassessment and sufficient sleep testing is ongoing.

The panel agreed that MFT should be provided by a qualified clinician who has received appropriate training in providing the therapy in conjunction with medical assessment.

As with pediatric snoring, the panel found *Insufficient Evidence* to support use of MFT as a monotherapy to prevent and cure adult snoring. Due to its low risk, the panel was *Uncertain* as to whether MFT could be used to manage snoring.

Lingual and Buccal Releases for Tethered Tissue

The tongue plays an important role in the proper growth of the oral cavity and the whole stomatognathic system. Undisturbed tongue function in the neonatal period is also essential in developing proper suction during breastfeeding. The literature widely describes the influence of ankyloglossia on difficulties in breastfeeding, noting that 25% of newborns with a short lingual frenulum experience such problems.¹⁰⁰ The surgical management of tethered oral tissues (TOTs) has increased greatly over the last 20 years.^{101–104}

Buccal connective tissue tethering of lips or cheek may also be described as a tightness or restriction in movement of the maxillary and/or mandibular soft tissues affecting the ability to maintain lip competence during functional demands. An abnormal frenum might contribute to the establishment and progression of periodontal disease, contribute to gingival recession, and influence the fit or retention of dental prosthetics. A frenectomy involves complete removal of the frenulum, while a frenotomy involves the frenulum being modified and/or relocated.

Over the last decade, an increase in awareness has led to more frequent diagnosis and treatment. Nevertheless, there has been a 735 percent increase in the number of identified cases of ankyloglossia, and an 870 percent increase in surgical procedures of TOTs reported between 1997 to 2012.¹⁰²

Our panel addressed the specific indications to surgically alter intraoral tethered tissue for OSA or snoring. Our perspective included review of published nonsurgical frenum changes occurring naturally with changes in frenum size and migration during growth and development. Therefore, desired changes in the frenum may be addressed through non-surgical interventions without surgical risk.

Pediatric OSA

In regard to OSA, the panel found 3 studies dealing with lingual releases for OSA in children.^{103,105,106} The evidence reviewed was from retrospective studies, not from cause and effect RCTs and, therefore, only offered plausibility. Two studies found that a short lingual frenum is a phenotypic aspect in pediatric OSA.^{105,106} An important consensus paper developed by a panel of experts concluded that there is no evidence that ankyloglossia causes OSA.¹⁰³

Furthermore, the panel discussed that, in infants and children, these procedures can be associated with very serious adverse effects and permanent damage to tissue, including acute airway obstruction, excessive bleeding, formation of a mucus retention cyst, hematoma development, abscess formation, numbness or paresthesia, infection, scar tissue formation, and restriction in tongue movement.¹⁰⁷ As such, the panel concluded that there was *Insufficient Evidence* to support use of these procedures to prevent, manage, or cure OSA in children. Combined with the potential for serious adverse effects, these procedures should not be used to treat pediatric SRBD.¹⁰³

Pediatric Snoring

The panel was unable to identify any papers addressing the use of lingual or buccal releases in children with snoring. Since no studies were available to review, and clinical expertise found that the harms of lingual and buccal releases in children could outweigh any potential benefit in reducing snoring, the panel determined that there was *Insufficient Evidence* to support frenum releases to either prevent, manage or cure snoring in children.

Adult OSA

Since no studies were available that supported lingual or buccal tethered tissue releases as having any efficacy in addressing adult OSA, the panel reached consensus that there was *Insufficient Evidence* to support their use to prevent, manage, or cure OSA.

Adult Snoring

As with OSA, the panel found no clinical studies addressing either lingual or buccal releases in adults successfully addressing snoring. Additionally, the panel felt that there are unnecessary risks and potentially significant harms in surgical approaches releasing tethered intraoral tissues for OSA or snoring concerns when there is no proven biological benefit. Risks include scarring, altered sensory or motor function, pain, and infection. As such, the panel concluded that there is *Insufficient Evidence* to support buccal and lingual releases to prevent, manage, or cure snoring in adults.

Ablative and Nonablative Lasers for Elongated or Edematous Soft Palate and Adjacent Tissue

The ablative carbon dioxide (CO2) laser-assisted uvulopalatoplasty (LAUP) was initially considered to be effective in addressing OSA and snoring, as the removal of excess upper airway tissue prone to vibration and collapse appeared to be an obvious approach. Pharyngeal tissue reduction started with conventional surgical uvulopalatopharyngoplasty (UPPP) proposed by Fujita¹⁰⁸ in 1981 followed by LAUP¹⁰⁹ in 1990 and later updated with radiofrequency tissue volume reduction also termed coblation¹¹⁰ in 1998. Due to high complications and relatively low benefit, LAUP is not recommended by the American Academy of Sleep Medicine (AASM) as a treatment for OSA.¹¹¹ With more specific endotyping and phenotyping, specific patients may be identified as responders in future and resurrect LAUP.

Nonablative laser therapy (NALT) uses laser radiation energies that do not coagulate, cut, burn,

carbonize or vaporize tissues. NALT does not require anesthesia although topical anesthetic has been occasionally reported as useful to prevent a gag reflex during treatment. NALT for OSA and snoring is applied to various soft tissues in the upper airway especially the soft palate, uvula and surrounding tissues. More recently NALT has been applied to the posterior tongue and sublingual areas to address OSA and snoring. A limited number of scientific articles in the literature have indicated various application times, energies, frequency of application and anatomy irradiated, making results difficult to reproduce.

Pediatric OSA

The panel reached consensus that there was *Insufficient Evidence* to support use of either ablative or nonablative lasers on the soft palate as a monotherapy to prevent, manage, or cure pediatric OSA.

Pediatric Snoring

The panel reached consensus that there was *Insufficient Evidence* to support use of either ablative or nonablative lasers as a monotherapy to prevent, manage, or cure pediatric snoring.

Adult OSA

The panel reviewed 2 meta-analyses^{112,113} and several studies addressing NALT in treating adult OSA or snoring.^{114–124} The majority of the studies used Er:YAG 2940-nm laser stimulation. The successful outcomes reported in one of the review articles¹¹³ confounded ablative and nonablative studies as well as animal studies and, therefore, overstated the limited evidence to support NALT. The limitations to the studies included lack of control groups and control group dropout data.

The vast majority of studies of research participants undergoing NALT lacked sleep studies to confirm the presence of OSA. Parameters measuring significant improvement in AHI or the respiratory disturbance index were limited to 2 studies, one of which had significant design flaws rendering the outcomes questionable¹¹⁹ and the other using proprietary diode 810nm laser settings that are not shared by the laser manufacturer.¹²⁴ Other study outcomes included static soft palate length using Mallampati scores, airway volume and minimal cross-sectional area by cone-beam computed tomography in awake nonsupine study participants, ESS, snoring using subjective measures or a smartphone app, treatment satisfaction, and untoward adverse effects. While such outcomes are commonly assessed in such studies, they are not currently recognized as valid measures to judge treatment success.

There was little evidence to support general use of both ablative laser and NALT therapy for OSA. The panel

concluded that there was *Insufficient Evidence* to support use of either ablative or nonablative laser therapy to prevent, manage, or cure adult OSA.

Adult Snoring

Minimal low-level evidence was identified in studies on the efficacy of NALT in treating snoring in adults. The studies the panel reviewed lacked objective scientific rigor and did not clarify which patients would be responders, nor clarify any standard dosing, energies or techniques that would be effective.^{125,126} Most of the studies used an Erbium laser, and no human studies with NALT used a CO2 laser. Notably, in the reviewed studies, approximately three-quarters of all research participants were male, so generalization to female participants may be suspect.

Unlike ablative laser therapy, NALT does not result in scarring and, as such, presents less untoward adverse effects to the patient. Most of the studies reported subjective improvement in snoring and minimal untoward adverse effects that were short-lived. While treatment had high satisfaction ratings, it was acknowledged that duration of effect was limited and that repeat treatments were necessary about annually in order to sustain snoring reduction.

There was consensus that there was *Insufficient Evidence* to support the use of ablative laser therapy to prevent, manage, or cure snoring. Due to its low risk and high patient satisfaction it was *Uncertain* whether NALT could be used to manage adult snoring. There was consensus that there is *Insufficient Evidence* to support NALT to prevent or cure snoring in adults.

Extractions

Pediatric OSA

There has been controversy within the dental community as to whether extractions that take place during childhood orthodontic treatment might result in decreased airway space and tongue crowding, and thus could lead to childhood OSA.¹²⁷ The panel reviewed 3 articles, with evidence ranging from weak to strong.^{127–129} The strongest evidence derived from 7 studies with high-quality evidence described by Hu and colleagues in their systematic review.¹²⁷ The authors found no evidence that supported a relationship between upper airway (UA) dimensions and functional breathing indices or that reductions in UA dimension increase airway collapsibility.¹²⁷

Additional articles pertaining to pediatric OSA were identified in the systematic review by Ng and colleagues who reviewed 9 studies including both adult and adolescent research participants.¹³⁰ Their conclusions on adolescents mirrored those of Hu's systematic review, noting that the findings on airway response to premolar

extractions were highly variable among the studies and did not consistently present an association between incisor retraction and airway dimensions. Ng also cautioned that these studies examined morphologic changes only and did not offer evidence of impact on functional breathing indices.

Based on the available evidence, the consensus of the panel was that extractions in children are *not a risk* factor for OSA. While there is evidentiary literature to suggest that extractions with significant incisor retraction might affect tongue space and UA dimensions in adults, it is also evident that extraction therapy employing moderate or mild incisor retraction may actually increase UA dimensions by mesial movement of molars.¹²⁷ In general, it was noted that the incidence of extractions in the pediatric population has been significantly reduced in orthodontic practice and that the employment of maximum anchorage (i.e. maximum incisor retraction) is rarely used, except in the most severe cases of malocclusions where the extractions serve to camouflage the anterior-posterior discrepancy in lieu of surgery or the crowding is most severe.

Adult OSA

Researchers have also posited that extractions that take place during childhood or adulthood could potentially cause or contribute to OSA during adulthood. Four articles, with evidence ranging from strong to moderate were reviewed, ^{127,130–132} including 2 systematic reviews. ^{127,130} The systematic reviews did not support a relationship between static, awake and nonsupine posture UA dimensions and functional breathing indices during sleep.^{127,130} Two retrospective studies were also reviewed.^{131,132} The first found that research participants who had extractions did not experience a change in sleep quality or increased OSA risk as measured by questionnaire.¹³² The second study found no difference in OSA risk between participants who had 4 premolars extracted and those with all of their premolars retained.¹³¹

Furthermore, airway alterations relative to tooth extraction studies are based only on surrogate measures of changes in UA volume with the patient awake and in a nonsupine posture, or patient subjective questionnaire outcomes. Unfortunately, these limited static wake measures do not accurately assess functional respiration during the various sleep stages and head positions. Other limitations of these studies include research participant selection and sampling biases, as well as a lack of assessment of functional breathing parameters either awake or while asleep. As such, the panel concluded that *neither childhood nor adulthood tooth extractions lead to OSA in adults*.

METHODS

The AADSM Board of Directors selected a panel of 12 experts to participate in the task force by independently and anonymously voting on the therapies in question. One panelist was unable to attend the conference, leaving the final number of panelists at 11. Additionally, 7 expert observers also were invited to provide feedback during the consensus conference. These observers were key stakeholders in the areas of dentistry (e.g. pediatric dentistry, orofacial pain, prosthodontics, orthodontics), sleep medicine, pediatric otolaryngology and speechlanguage pathology. Seven of the panelists participated as members of a writing group that reviewed all of the literature and presented to the remaining 4 panelists and 7 observers.

The consensus conference operated under a modified RAND/UCLA methodology.¹ This entailed a literature review and voting process (described below) to arrive at consensus recommendations. The panel was instructed to consider both relevant evidence presented by the writing group, as well as their own clinical expertise and judgment. While observers were permitted to offer insight and critiques of the literature, only panelists participated in the voting process.

Literature Review

A nonsystematic literature search was conducted using a combination of keywords and Medical Subject Heading Terms (MeSH) in PubMed. Two separate searches were conducted. The initial search was conducted for each of the 4 assumed risk factors by combining keywords relating to OSA and snoring, the 4 risk factors and the therapies. A subsequent search was done to refine these results by searching for disease and risk factor keywords as well as disease and therapy keywords, again for each of the 4 assumed risk factors. For the first search, results were limited to the past 10 years (January 1, 2013 to August 3, 2023). For the second search, results were limited to the past 10 years (January 1, 2013 to August 30, 2023) and only to human studies.

A total of 2059 articles were reviewed by the writing group, using titles and abstracts to screen for articles whose full texts were then retrieved. The writing group was instructed to exclude any articles that were in languages other than English, editorials, opinion pieces, book chapters, and any that were irrelevant to the questions being asked. This preliminary screening resulted in 392 articles, which were examined in detail by the writing group for inclusion in the literature review presented to the conference panelists.

Voting Process

Prior to the in-person conference, panelists were sent a number of consensus statements to clarify the appropriateness of the following therapies: functional appliances, dental arch expansion, myofunctional orthodontics, myofunctional therapy, buccal/lingual tethered tissue releases, and laser therapy (ablative and nonablative). They were asked to independently rate each therapy from 1 (least appropriate) to 9 (most appropriate) as a treatment for OSA and snoring in adults and children. Adults and pediatric populations were considered separately. Voting was organized into 3 ranges, with 1 to 3 being classified as treatments having Insufficient Evidence regarding their use as a monotherapy, 4 to 6 classified as treatments presenting Uncertain regarding their use as a monotherapy and 7 to 9 classified as treatments that are Appropriate for use as a monotherapy.

Additionally, the panelists were asked to rate their level of agreement with whether tooth extractions could cause OSA, and they independently rated this question from 1 (strongly disagree) to 9 (strongly agree). Again, these votes were organized into 3 ranges, with 1 to 3 being classified as *Disagree* with causation/contribution, 4 to 6 classified as *Uncertain* causation/contribution and 7 to 9 classified as *Agree* as to causation/contribution.

The RAND/UCLA method does not require a unanimous vote to arrive at consensus.¹ Rather, the median of all panelist votes is calculated and consensus is achieved when at least 8 out of 11 panelists voted within one of the three aforementioned ranges.

During the in-person conference on November 3-4, 2023, the writing group presented findings from the literature via PowerPoint summaries regarding the therapies and extractions. All members had access to the literature for personal review. After each presentation, discussions took place with observers being allowed to ask questions or contribute to robust discussion. Following discussion, the 11 panelists participated in a second vote. They were permitted to change their vote from the initial voting round, based on discussion and consideration of evidence presented. If consensus was not achieved after the second round of voting, further discussion and a third round of voting took place. If consensus was not achieved after 3 rounds of voting, no further discussion or voting was conducted, and a lack of consensus for that therapy was declared.

LIMITATIONS

This consensus paper was not without limitations. First, as this was an introductory review of the literature in this field, only the PubMed database was searched. We did not search other databases or any gray/white literature. As such, some non-indexed studies may not have been considered. Furthermore, most of the studies reviewed were assessed to be based on a low quality of evidence. For instance, many of the systematic reviews and metaanalyses were considered to be of a lower quality evidence, because they failed to include controls and/or randomization, or had high between-study heterogeneity in their primary endpoints, as well as a lack of standardization of therapeutic regimens and study measures. Additionally, any literature which was published after the search cutoff date was not considered during the conference. Accordingly, our recommendations should be evaluated in this context and future studies should incorporate updated literature as it becomes available.

In particular, the dearth of controls poses a challenge when studying growing children. Numerous longitudinal studies, including the crossover Childhood Adenoid Tonsil Study (CHAT), suggests that somatic growth, inherent to the upper airways, can mitigate the presence or severity of disease.¹⁸ While longitudinal studies such as those undertaken at Penn State⁸ show a mild incidence in refractory disease after 8 years, the CHAT study showed that in as little as 7 months, nearly half of children with nothing more than watchful waiting may experience resolution of OSA.¹⁸ The identification of including pediatric phenotypes, possible genetic determinants of growth and maturation, may be helpful in predicting which children may require intervention and those who are likely to outgrow the presentation.

CONCLUSION & FUTURE RESEARCH

In total, there was concurrence among panelists that none of the reviewed therapies were Appropriate as first-line monotherapies. The implication does not necessarily preclude the use of these therapies, especially as possible secondary, tertiary, or rescue options, and tends to reflect both chasms within the literature, as well as a dearth of high-level research. In the absence of evidence demonstrating that a treatment is effective, the panelists relied on their respective clinical judgment and experience to make decisions. This may have led to either Uncertainty or concluding that Insufficient Evidence existed to support a specific treatment option, particularly if the discussion suggested that a therapy was possibly unnecessary or associated with potential risk. Furthermore, the future portends that specific pheno-, endo- and other sub-typing of patients may be identified as better suited to specific novel therapies.

Novel treatments continue to emerge that were not explored herein, either because no relevant peer-reviewed studies were identified, however weak, or because these therapies were so recent that they were not included among novel treatment options at the time that the consensus conference agenda was being developed. For example, claims of the possibility of using removable orthodontic appliances to foster adult bone growth or expansion to improve sleep and breathing require further studies. The explosion of lingual frenectomy procedures and centers devoted to this treatment warrants greater scrutiny to ensure that this treatment is not indiscriminately provided or urged upon patients in the absence of evidence to support its efficacy. Myofunctional therapy should be guided by clarification of minimum educational qualifications and standardized protocols underpinned by high-quality evidence.

All therapies, emerging or not, must be provided by following standard safety protocols by licensed professionals trained in their use, along with adequate informed consent, including whether the use of the treatment is considered off-label by the US Food and Drug Administration.

As with all consensus documents, our recommendations rely on the clinical expertise of panelists in conjunction with the available literature. When considering these recommendations, qualified dentists should use their best clinical judgment and knowledge of the patient's specific needs. We believe this paper is the first of its kind to review the use of these novel therapies in both children and adults and, as such, can provide guidance to clinicians on effective treatment measures, while also directing researchers to consider studies in those areas where more complete data are needed. Moving forward, greater uniformity and standardization in reporting among studies is needed. Additionally, long-term randomized controlled studies with larger sample sizes should offer greater understanding as to the benefits and limitations of novel therapies and, in turn, provide clinicians with increased clarity on when best to consider these novel approaches in their patient populations.

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